# Categorical structures for comprehension and context extension

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# Introduction

 '80, Frege introduced comprehension as a basic operation in his Grundlagen (and Russel fixed it).

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x:X \mid \top \vdash \phi(x) \iff x \in \{\phi\}
```

- '70, Lawvere formulated comprehension as a (right) adjoint functor. For this, formulas have to be indexed over contexts of free variables: use Grothendieck fibrations.
- '80, Ehrhard proposes Grothendieck fibrations for the semantics of type dependency, and formulates context extension as a classifier of terms.

 $x:X \vdash t:A \iff t:X \to X.A$ 

His D-categories also generalise Lawvere's comprehension: via propositions-as-types, a comprehension classifies the proofs of a formula.

# Introduction

- '90, Categorical frameworks for the semantics of type dependency proliferate: they all form subcategories of Jacob's comprehension categories.
- Today: when {-} = X.- is a right adjoint and what can go on its left. More generally:
  - obtain "free comprehension structures",
  - even better, try to find settings over which comprehension is monadic (=can be described purely algebraically).

New results are from joint work with Greta Coraglia, Francesco Dagnino, and Andrea Giusto.



#### Fibrations

Comprehensions à la Lawvere-Ehrhard

**Comprehension categories** 

**Categories with families** 

# **Grothendieck fibrations**

Convenient framework to describe structure over a base category of objects.

A fibration is a functor with the possibility of transporting objects between its fibres in a universal way.

|-|: Pos  $\longrightarrow$  Set is a fibration |-|: Grp  $\longrightarrow$  Set is not a fibration

$$(S, f^{-1}[\leq_X]) \xrightarrow{f} X = (|X|, \leq_X)$$
$$S \xrightarrow{f} |X|$$



# **Grothendieck fibrations**

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The universal arrow  $f: (S, f^{-1} \leq_X) \to X$  in Pos is called cartesian.

# The syntactic fibration

*T* a theory in (a fragment of) a first order multi-sorted language.

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**Cartesian** arrows in C(T) are substitutions.

Vertical arrows in C(T) are logical consequences (g is vertical if  $Syn_T(g) = id$ ).

As in every fibration, an arbitrary arrow is given by a vertical one followed by a cartesian one: arrows in C(T) are logical consequences followed by a substitution.

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$$\begin{array}{ccc} \mathsf{T}_{(x_1 \mathbb{N})} & \longrightarrow & x_1 = x_2 \\ & \downarrow & & \downarrow \\ x_1 + x_1 = 2x_1 & \longrightarrow & x_1 + x_2 = 2x_1 \end{array}$$

$$(x_1:\mathbb{N}) \xrightarrow{(x_1,x_1)} (x_1:\mathbb{N},x_2:\mathbb{N})$$

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 $Syn_T$  is a faithful fibration:

- p is a faithful functor, equivalently
- ► each fibre **E**<sub>X</sub> is a poset.

#### **Fibrations from type dependency**

From Martin-Löf Type Theory, two fibrations can be constructed.

- Base category: contexts and substitutions.
- Cartesian arrows: substitutions of terms in types
- Vertical arrows: two alternatives
  - 1. none (obtain a discrete fibration)
  - 2. given types A, B in context  $\Gamma$ , vertical arrows  $A \rightarrow B$  are terms  $\Gamma, A \vdash t: B$  (proof-relevant logical consequences).

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Pred —— » Set	$\operatorname{cod}$ : $\operatorname{Sub}_{\mathcal{C}} \longrightarrow \mathcal{C}$
$f^{-1}[S] \subseteq Y \xrightarrow{f} S \subseteq X$	$Y \times_X A \longrightarrow A$
$Y \xrightarrow{f} X$	$\stackrel{\checkmark}{Y} \xrightarrow{f} \stackrel{\checkmark}{X}$
$Fam(\mathcal{C}) \longrightarrow Set$	$\operatorname{cod}$ : $\mathcal{C}^2 \longrightarrow \mathcal{C}$
$S' \xrightarrow{kf} Ob\mathcal{C} \longrightarrow S \xrightarrow{k} Ob\mathcal{C}$	$\begin{array}{ccc} Y \times_X A \longrightarrow A \\ \downarrow &                                 $
$S' \xrightarrow{f} S$	$Y \xrightarrow{f} X$

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$$\begin{array}{ccc} A' & \longrightarrow & A \\ \uparrow & & \uparrow \\ A' \wedge_Y B' & \longrightarrow & A \wedge_X B \\ \downarrow & & \downarrow \\ B' & \longrightarrow & B \end{array}$$



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 $p: \mathcal{E} \longrightarrow \mathcal{B}$  has binary fibred products if  $p: \mathcal{E} \longrightarrow \mathcal{B}$  has fibred terminals if it has a rari (right adjoint right inverse):  $\begin{array}{ccc} A' & \longrightarrow & A \\ \uparrow & & \uparrow \\ A' \wedge_Y B' & \longrightarrow & A \wedge_X B \\ & & \downarrow \end{array}$  $\begin{array}{c} & & \ddots \\ & & \downarrow \eta_A \\ T_Y \xrightarrow{T_f} & T_X \\ Y \xrightarrow{f} & X \end{array}$  $\begin{array}{c} \downarrow \\ B' \longrightarrow B \end{array} \xrightarrow{} B$ R  $\xrightarrow{f} X$ 

If  $\mathcal{B}$  has finite products, then  $\mathcal{E}$  has finite products and p preserves them.



#### Fibrations

#### Comprehensions à la Lawvere-Ehrhard

**Comprehension categories** 

**Categories with families** 

#### Lawvere's comprehension

 $p: \mathcal{E} \longrightarrow \mathcal{B}$  a bifibration with fibred terminals (and with BCC for all pullbacks). It has comprehensions if, for every  $X \in \mathcal{B}$ :



F.W. Lawvere. Equality in hyperdoctrines and the comprehension schema as an adjoint functor. In: A. Heller (Ed.), *Proc. New York Symposium on Application of Categorical Algebra*, AMS, 1970

#### Lawvere's comprehension

When  $\mathcal{B}$  is a regular category (=it has images and finite limits):



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But  $Sub_{\mathcal{B}}$  has comprehensions even when  $\mathcal{B}$  is not regular!

#### **Ehrhard's comprehension**

 $p: \mathcal{E} \longrightarrow \mathcal{B}$  a fibration with fibred terminal objects (i.e. with a rari  $\top \vdash p$ ). p is a D-category if  $\top$  has a right adjoint  $\{-\}: \mathcal{E} \rightarrow \mathcal{B}$ .



T. Ehrhard. A categorical semantics of constructions. LICS 1988

$$T_Y \longrightarrow A \qquad \cong \qquad Y \longrightarrow \{A\}$$

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substitutions extending *f* 

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#### Proposition (Jacobs)

A bifibration has Lawvere comprehensions if and only if it is a D-category.

Define L:  $\mathcal{E} \to \mathcal{B}^2$  as LA :=  $p(\varepsilon_A)$ : {A}  $\to X$ .

#### The simple fibration

#### $\ensuremath{\mathcal{B}}$ a category with finite products.



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Terms  $x:X \mid \lambda u.t: U \Rightarrow V$  are classified by the object  $V^{X \times U}$  in  $\mathcal{B}$ , which:

- ▶ is not an exponential of V by U in B, but
- ▶ is an exponential of V by U in the fibre  $sB_X$ .

# The simple fibration

#### $\ensuremath{\mathcal{B}}$ a category with finite products.



#### Fact

 $s\mathcal{B}$  is the Kleisli category of the (fibred) reader comonad

$$\mathcal{B} \times \mathcal{B} \xrightarrow{(X,U) \mapsto (X,X \times U)} \mathcal{B} \times \mathcal{B}$$

# The free D-category

 $p: \mathcal{E} \longrightarrow \mathcal{B}$  a fibration with finite fibred products ( $\wedge_X$  binary product in  $\mathcal{E}_X$ ).  $\bigwedge_p$  the comonad on  $\mathcal{E} \times_{\mathcal{B}} \mathcal{E}$  such that  $\bigwedge_p (A, B) := (A, A \wedge_X B)$ . (Not fibred!)



#### Proposition

 $p^{E} := p_1 P$  is a fibration, with rari  $\hat{T} := I(id, T)$ , and such that  $\hat{T} \dashv pr_2 P$ .

When  $p = !: \mathcal{B} \longrightarrow !$ , the comonad  $\bigwedge_p$  is the reader comonad and  $p^E = s_{\mathcal{B}}$ .

#### The free D-category

#### Proposition (Dagnino-E.-Giusto)

 $(-)^{E}$  provides a left biadjoint to the forgetful 2-functor

#### 

from the 2-category of D-categories with finite fibred products to the 2-category of fibrations with finite fibred products.

A. Giusto. Fibrations with comprehensions and their completions. MSc thesis, Università di Genova, 2024

- Clearly, a fibration has at most one structure of Ehrhard comprehension: the induced 2-monad on FFPFib should be oplax-idempotent.
- We expect that the biadjunction is monadic: the 2-category of (pseudo) algebras of the monad should be equivalent to FFPDCat.



#### Fibrations

Comprehensions à la Lawvere-Ehrhard

**Comprehension categories** 

**Categories with families** 

#### **Comprehensions without unit types**


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$$\frac{f: Y \to X \qquad X \vdash A \text{ type} \qquad Y \vdash t: A[f]}{(f, t): Y \to X.A}$$

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#### **Comprehension categories**

A comprehension category is



where  $\chi$  preserves cartesian arrows:



#### $(p, \chi)$ is discrete if p is a discrete fibration. $(p, \chi)$ is full if $\chi$ is full and faithful.

B. Jacobs. Comprehension categories and the semantics of type dependency. TCS 1993

# **Comprehension categories - examples**

1. Every D-category is a comprehension category (with fibred terminals).

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- DiscFib → Cat<sup>2</sup> is a full comprehension category with fibred terminals, hence a D-category.
- 5.  $Fam(\mathcal{C}) \rightarrow Set^2$  is a D-category if  $\mathcal{C}$  has a terminal object 1, and it is full if and only if  $\mathcal{C}(1, -): \mathcal{C} \rightarrow Set$  is full and faithful. The same holds for the externalisation of an internal category. In particular, the externalisation of PERs in Eff is a D-category.

- Models of Martin-Löf Type Theory and Calculus of Constructions can be described either
  - as discrete comprehension categories, or
  - as full (split) comprehension categories, these are (often) D-categories: IsoFib → Gpd<sup>2</sup> and KanFib → sSet<sup>2</sup>.

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For the inclusions (of 2-categories) of

- contextual categories (Cartmell)
- display map categories (Taylor)
- categories with attributes (Cartmell, Moggi, Pitts)
- categories with families (Dybjer)

#### into comprehension categories, see:

B. Ahrens, P. LeFanu Lumsdaine, P.R. North. Comparing semantic frameworks for dependently-sorted algebraic theories. arXiv:2412.19946 (and talk at EPN-WG6 meeting, Genoa 2025)

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For current research on making sense of arbitrary comprehension categories trying to use vertical arrows to obtain semantics of coercive subtyping, see:

G. Coraglia, J.E. Categorical Models of Subtyping. Post. Proc. TYPES 2023

N. Najmaei, N. van der Weide, B. Ahrens, P. R. North. A Type Theory for Comprehension Categories with Applications to Subtyping. arXiv:2503.10868 (and talk at EPN-WG6 meeting, Genoa 2025)

### The free comprehension category

 $p: \mathcal{E} \longrightarrow \mathcal{B}$  any fibration.



Fibrations

Categories with families

### The free comprehension category



where  $\vec{A} = (A_1, \ldots, A_n)$  with  $A_i \in \mathcal{E}_X$ ,  $id_{\vec{A}} = id_{A_1}, \ldots, id_{A_n}$ 

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 $\triangleright$   $p^{\rm J}$  has fibred terminals if and only if p does.

the comprehension of p<sup>J</sup> is not full.

# The free comprehension category



A. Giusto. Fibrations with comprehensions and their completions. MSc thesis, Università di Genova, 2024

- The induced 2-monad on Fib is not oplax idempotent: for p a fibration with finite fibred products, p<sup>E</sup> has full comprehensions and p<sup>J</sup> does not.
- Monadic?



#### Fibrations

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#### **Back to basics**

 $\frac{\vdash X \operatorname{ctx} \quad X \vdash A \operatorname{type}}{\vdash X.A \operatorname{ctx}}$ 

 $\frac{FX \text{ ctx} \qquad X FA \text{ type}}{X.A F \text{ v}_A : A}$ 

context extension

assumption

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#### A category with families (cwf) consists of

- ► A Fam-valued presheaf  $\text{Tm}: C^{\text{op}} \to \text{Fam where } \text{Tm}(\Gamma) = (\text{Tm}(\Gamma, A))_{A \in \text{Ty}(\Gamma)}$ ,
- ► for every  $A \in Ty(\Gamma)$ , an arrow  $p_A : \Gamma . A \to \Gamma$  and an element  $v_A \in Tm(\Gamma . A, A[p_A])$  such that, naturally in  $\Gamma$ ,

$$\operatorname{Sect}(\mathsf{p}_{\mathsf{A}}) \xrightarrow{\sim} \gamma \mapsto \mathsf{v}_{\mathsf{A}}[\gamma] \to \operatorname{Tm}(\Gamma, \mathsf{A})$$

Peter Dybjer. Internal type theory. TYPES 1995

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This is equivalent to saying that the forgetful morphism of presheaves  $Tm \rightarrow Ty$  over C is representable.

S. Awodey. Natural models of homotopy type theory. MSCS 28, 2018

Apply the Grothendieck construction to the representable  $Tm \rightarrow Ty$  to obtain:



- u and u are discrete fibrations.
- ►  $\Sigma$  is a morphism of discrete fibrations, i.e.  $u \circ \Sigma = \dot{u}$ . We write  $\Gamma \vdash t : A$ , for  $t \in \text{Tm}$ ,  $\Gamma = \dot{u}(t)$ , and  $A = \Sigma(t)$ .

 $\blacktriangleright \Sigma \dashv \Delta$ .

 $\Delta$  is **not** a morphism of fibrations: it changes the underlying context!

 $\Delta(\Gamma \vdash A) = \Gamma.A \vdash v_A : A \text{ and } p_A = u(\varepsilon_A) : \Gamma.A = \dot{u} \Delta A \rightarrow uA = \Gamma.$ 

T. Uemura. A general framework for the semantics of type theory. MSCS 33, 2023

### Categories with families as discrete comprehension categories

Theorem (Hofmann)

CwFs are equivalent to discrete CompCats.

We can forget the (discrete) fibration of terms  $\dot{u}$ : Tm  $\rightarrow C$  because, by definition, we can recover it as the fibration of sections:

$$\mathsf{Tm}(\Gamma, A) \xrightarrow[t \mapsto \dot{u}(\eta_t)]{} \mathsf{Sect}(\mathsf{p}_A)$$

M. Hofmann. Syntax and semantics of dependent types. 1997

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Since  $\varepsilon_A : \Sigma \Delta A \rightarrow A$  is cartesian over  $p_A = u(\varepsilon_A)$ ,

$$\mathsf{Tm}(\Gamma, A) \xrightarrow[t \mapsto \Sigma(\eta_t)]{\sim} \mathsf{Sect}(\varepsilon_A)$$

In fact, terms are coalgebras of the comonad  $(\Sigma \Delta, \varepsilon, \Sigma \eta \Delta)$  on Ty.

# Weakening-and-contraction comonads

A weakening-and-contraction comonad (w-comonad) consists of a fibration  $u: \mathcal{E} \to \mathcal{C}$  and a comonad  $(K, \varepsilon, \nu)$  on  $\mathcal{E}$  such that

- 1. for every  $A \in \mathcal{E}$ , the component  $\varepsilon_A : KA \rightarrow A$  of the counit is cartesian.
- 2. for every cartesian arrow  $f : A \rightarrow B$  in  $\mathcal{E}$  the image in  $\mathcal{B}$  under u of the naturality square of  $\varepsilon$  is a pullback square in  $\mathcal{C}$ .

$$\begin{array}{c} uKA \xrightarrow{uK(f)} uKB \\ \downarrow u(\varepsilon_A) \downarrow \xrightarrow{u(f)} u(\varepsilon_B) \\ uA \xrightarrow{u(f)} uB \end{array}$$

B. Jacobs. Categorical Logic and Type Theory. 1999

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where  $\omega_A \colon WA \to A$  is a chosen reindexing of A over  $\chi_A \colon \Gamma.A \to \Gamma$ .

B. Jacobs. Categorical Logic and Type Theory. 1999

# The (co-)structure-semantics 2-adjunction



A functor  $F: \mathcal{A} \rightarrow \mathcal{C}$  is co-tractable if it has:

► a left Kan extension along itself:

E. Dubuc. Kan Extensions in Enriched Category Theory. LNM 145, 1970

#### ► an Und-cocartesian lift at the identity comonad on *A*:

R. Street. The formal theory of monads. JPAA 2, 1972

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## **Generalised categories with families**

A generalised category with families (a gcwf for short) is:



- 1. *u* and *u* are Grothendieck fibrations (not necessarily discrete!),
- 2.  $\Sigma$  is a morphism of fibrations, i.e.  $u \circ \Sigma = \dot{u}$  and  $\Sigma$  preserves cartesian arrows.
- 3.  $\Sigma \dashv \Delta$ , the unit is *u*-cartesian, and the counit is *u*-cartesian.

G. Coraglia, I. Di Liberti. Context, judgement, deduction. Proc. CatMI 2023

# The (co-)structure-semantics adjunction revisited

The structure-semantics 2-adjunction extends to a biadjunction involving pseudo-squares as 1-cells of adjunctions.



### The biequivalence

### Theorem (Coraglia-E.)

The co-structure-semantics biadjunction between **Cmd** and **LAdj**<sup> $\cong$ </sup> lifts to a biequivalence between **WCmd** and **GCwF**<sup> $\cong$ </sup>.

G. Coraglia, J.E. A 2-categorical analysis of context comprehension. TAC 41, 2024



We recover  $DiscCompCat \equiv CwF$  since the components of pseudo-squares are vertical arrows in a discrete fibration, hence must be identities.

# Wrapping up

Comprehension/context extension can be represented in different ways, depending on the available structure (given substitution in the form of a fibration).

- ► Hyperdoctrines (Lawvere): comprehension of A is the representing arrow of the presheaf E((-)!T<sub>dom(-)</sub>, A) on B<sup>2</sup> of images in A.
- ► D-categories (Ehrhard): comprehension of A is the representing context X.A of the presheaf  $\mathcal{E}(T_{-}, A)$  on  $\mathcal{B}$  of global proof-terms of A.
- Comprehension categories (Jacobs): not universal? No structure required.
- Categories with families (Dybjer): comprehension of A is the context of the representing term  $\Delta A$  of the presheaf  $\mathcal{E}(\Sigma(-), A)$  on  $\dot{\mathcal{E}}$  of type morphisms into A.

Thank you!